

06/04/01

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

01-352

First Inventor

LUKAS HOWALD

Title

SCANNING TIP AND PROCESS FOR ITS..

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EL398544373US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit on original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages 8]
 - a. ☒ unsigned
 - b. ☐ Newly executed (original or copy)
 - c. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Enter Customer Number or bar code label here)

or ☒

Correspondence address below

| | | | | | |
|---------|--------------------------|-----------|--------------|----------|--------------|
| Name | Robert H. Bachman | | | | |
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| Country | USA | Telephone | 203-777-6628 | Fax | 203-865-0297 |

| | | | |
|-------------------|-------------------|-----------------------------------|--------|
| Name (Print/Type) | Robert H. Bachman | Registration No. (Attorney/Agent) | 19,374 |
| Signature | | Date | 6-4-01 |

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June 4, 2001

(Date of Deposit)

Antoinette Sullo

Name and Reg. No. of Attorney

6-4-01

Date of Signature

06/04/01

JC962 U.S. PTO

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

| Complete If Known | |
|----------------------|--------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | LUKAS HOWALD |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 01-352 |

| METHOD OF PAYMENT | |
|---|---------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | |
| Deposit Account Number | 02-0184 |
| Deposit Account Name | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | |

| 2. <input checked="" type="checkbox"/> Payment Enclosed: | |
|--|--|
| <input checked="" type="checkbox"/> Check | <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other |

| FEE CALCULATION | | | | |
|------------------------|------------------------|------------------------|----------|-----|
| 1. BASIC FILING FEE | | | | |
| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description | Fee Paid | |
| 101 710 | 201 355 | Utility filing fee | 355 | |
| 106 320 | 206 160 | Design filing fee | | |
| 107 490 | 207 245 | Plant filing fee | | |
| 108 710 | 208 355 | Reissue filing fee | | |
| 114 150 | 214 75 | Provisional filing fee | | |
| SUBTOTAL (1) | | | (\$) | 355 |

| 2. EXTRA CLAIM FEES | | | | |
|---------------------------|---------------|--|----------------|----------|
| Total Claims | | Extra Claims | Fee from below | Fee Paid |
| Independent | 14 | -20** = | X | |
| Multiple Dependent | 3 | -3** = | X | |
| Large Entity Small Entity | | | | |
| Fee Code (\$) | Fee Code (\$) | Fee Description | | |
| 103 18 | 203 9 | Claims in excess of 20 | | |
| 102 80 | 202 40 | Independent claims in excess of 3 | | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | | |
| 109 80 | 209 40 | ** Reissue independent claims over original patent | | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | | |
| SUBTOTAL (2) | | | (\$) | -- |

| 3. ADDITIONAL FEES | | | | |
|----------------------------|----------------------------|--|----------|----|
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid | |
| 105 130 | 205 65 | Surcharge - late filing fee or oath | | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 139 130 | 139 130 | Non-English specification | | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 110 | 215 55 | Extension for reply within first month | | |
| 116 390 | 216 195 | Extension for reply within second month | | |
| 117 890 | 217 445 | Extension for reply within third month | | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | | |
| 119 310 | 219 155 | Notice of Appeal | | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | | |
| 121 270 | 221 135 | Request for oral hearing | | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | | |
| 140 110 | 240 55 | Petition to revive - unavoidable | | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | | |
| 143 440 | 243 220 | Design issue fee | | |
| 144 600 | 244 300 | Plant issue fee | | |
| 122 130 | 122 130 | Petitions to the Commissioner | | |
| 123 130 | 123 130 | Petitions related to provisional applications | | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | | |
| 169 900 | 169 900 | Request for expedited examination of a design application | | |
| Other fee (specify) | | | | |
| SUBTOTAL (3) | | | (\$) | -- |

| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|--------------------|-----------------------------------|--------------|
| Name (Print/Type) | Robert H. Bachman | Registration No. (Attorney/Agent) | 19,374 |
| Signature | <i>[Signature]</i> | Telephone | 203-777-6628 |
| | | Date | 6-4-01 |

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on June 4, 2001
(Date of Deposit)
Annoinette Sullo
Name and Reg. No. of Attorney
[Signature]
Signature
6-4-01
Date of Signature